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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL To Commissioner For Patents Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.	<u>Application Number</u>	
	<u>Filing Date</u>	CONCURRENTLY
	<u>First Named Inventor</u>	BERNARDUS H.W. HENDRIKS ET AL
	<u>Group Art Unit</u>	
	<u>Examiner Name</u>	
	<u>Attorney Docket Number</u>	NL000659

11011 U.S. PTO
09/26/01
09/28/01

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;

☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	MICHAEL E. MARION	Registration No. (Attorney/Agent)	32,266
Signature	<i>[Signature]</i>	Date	9/27/01

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel#: _____ on the date below:

Name (Print Type)	NOEMI CHAPA	Date	9/28/01
Signature	<i>Noemi Chapa</i>		

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. NL000659		Serial No.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant BERNARDUS H.W. HENDRIKS ET AL			
				Filing Date CONCURRENTLY		Group 2873	

J1011 U.S. PTD
 09/966411
 09/28/01

U.S. PATENT DOCUMENTS													
Ex. Int.	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.							
	AA												
	AB												
	AC												
	AD												
	AE												
	AF												

FOREIGN PATENT DOCUMENTS													
	Document Number	Date	Country	Class	Sub-class	Trans.							
						Yes	No						
<input checked="" type="checkbox"/>	AG	E P 0 8 6 5 0 3 7	9/1998	EUROPE	G11B	7/135							
	AH	1 1 0 0 2 7 5 9	6/1999	JAPAN	G02B	13/00			✓				
	AI												
	AJ												
	AK												

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)												
<input checked="" type="checkbox"/>	AL	Katayama et al., "Dual-Wavelength Optical Head with a WaveLength-Selective Filter for 0.6- and 1.2-mm-thick-substrate Optical Disks", Applied Optics, vol. 38, no. 17, June 1999.										
	AM											
	AN											

Examiner <u> </u>	Date Considered <u>12/23/02</u>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant.